

Canadian Branch Complaint-handling Procedures

Canadian Branch Complaint Procedures

Customer inquiries should be directed to the local Relationship Manager for initial resolution. Customers will be provided information in writing as to how to contact the Financial Consumer Agency of Canada (FCAC) for complaints that are potential violations of a consumer protection law. If the problem cannot be resolved then the Relationship Manager should contact the Complaints and Compliance Liaison designated below. This individual will handle the initial research. The Complaint and Compliance Liaison will conduct all required research and determine any complaints that merit the attention of the Second Level Reportable Complaint Liaison. Any complaints received by the Second Level Reportable Complaint Liaison will be forwarded to the FCAC within 60 days of receipt by the second level, regardless of the resolution.

Complaints and Compliance Liaison:

First point of contact for Canada Branch customer inquiries:

Natalie Strike Canadian Chief Compliance Officer Fifth Third Bank 70 York Street, Suite 1253 Toronto, Ontario M5J 1S9 Canada Phone: 416-645-8363 Fax: 1-866-719-0023 canadianbranch.bancorp@53.com

Second Level Reportable Complaint Liaison:

Contact to receive any complaints involving a consumer provision of an FCAC targeted code of conduct or public commitment.

Kristin Kern-Spiekerman International Operational Risk Officer Fifth Third Bank 38 Fountain Square Plaza Mail Drop: 10904E Cincinnati, Ohio 45263 United States Phone: 513-534-3011 <u>kristin.kern-spiekerman@53.com</u>



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Fifth Third Bank is a member of the Ombudsman for Banking Services and Investments (OBSI), a Third Party Complaints Body, as required under the Bank Act. Customers who wish to contact OBSI directly can do so by mail, telephone, fax or email:

Ombudsman for Banking Services and Investments 401 Bay Street, Suite 1505 P.O. Box 5 Toronto, Ontario M5H 2Y4 Toll-free Telephone: 1-888-451-4519 Toll-free TTY: 1-855-TTY-OBSI (1-855-889-6274) Toll-free Fax: 1-888-422-2865 Email: <u>ombudsman@obsi.ca</u> Website: www.obsi.ca

Fifth Third Bank customers may also contact the Financial Consumer Agency of Canada (FCAC) directly, at its office at: 427 Laurie Ave. West, 6th Floor Ottawa, Ontario KIR 1B9

or through its website at <u>www.fcac-acfc.gc.ca</u>.